MAY 1 2 2003 BY

813,P10 W

C4 C

### CASE PP/1-22042/A/CGM 499/PCT

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Polores Delarmine
Type or print name

Signature

5/10 05

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT No. 6,875,831 OF

Group Art Unit: 1713

ANDREAS KRAMER ET AL

Examiner: F. M. Teskin

ISSUED: APRIL 5, 2005

**APPLICATION NO: 10/019,618** 

Certificate

FILED: DECEMBER 20, 2001

MAY 1 8 2005

FOR: MONO AND MULTIFUNCTIONAL

ALKOXYAMINES FOR THE PREPARATION

of Correction

OF FUNCTIONALIZED MACROMERS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.322

Patentees recently received a copy of the above-issued patent and discovered that there was an error on the title page in Section (86). A request for a Certificate of Correction is hereby submitted pursuant to 37 CFR § 1.322.

The error originated from the Notice of Acceptance, dated April 10, 2002 which listed the 371 date as December 20, 2000 (a copy is enclosed herewith). A Letter to correct both the Filing Receipt and the Notice was sent to the Patent and Trademark Office (PTO) on May 17, 2002 with enclosures to verify this 371 date. This letter must have corrected the filing date because upon review of the files, it was noted that all the (PTO) papers after that date listed the 371 filing date correctly as:

December 20, 2001 not December 20, 2000. However, Applicant never received a corrected filing receipt.

MAY 2 3 2005

Enclosed is a printout copy of the Patent Application Information taken from the PAIR system, which lists the 371 date as December 20, 2001.

Attached in duplicate is Form PTO-1050, with at least one copy being suitable for printing.

As will be noted in the application as filed, this error is not ascribable to the patentees so it is submitted that no fee is due. However, should it be determined that a fee is necessary, Applicants hereby give the Commissioner authorization to debit patentees Deposit Account 03-1935.

Respectfully submitted,

Tyler A. Stevenson Agent for Applicants

Reg. No. 31,635

Ciba Specialty Chemicals Corporation
Patent Department
540 White Plains Road
P.O. Box 2005

Tarrytown, NY 10591-9005 (914) 785-2783

TAS/dd

Encl: Form PTO 1050 (2), copy of Notice of Acceptance and copy of PAIR Sheet

Date: MAY 1 0 2005

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.:

6,875,831

DATED:

**APRIL 5, 2005** 

INVENTOR(S)

ANDREAS KRAMER ET AL.

It is certified that there is an error in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Section (86) should read:

-- PCT No.: PCT/EP00/05899

§ 371 ©(1),

(2), (4) Date: **Dec. 20, 2001** --.

MAILING ADDRESS OF SENDER:

PATENT NO. 6,875,831

(No. of add'l. Copies (3))

Tyler A. Stevenson Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.:

6,875,831

DATED:

**APRIL 5, 2005** 

INVENTOR(S)

ANDREAS KRAMER ET AL.

It is certified that there is an error in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Section (86) should read:

-- PCT No.: PCT/EP00/05899

§ 371 ©(1),

(2), (4) Date: **Dec. 20, 2001** --.

MAILING ADDRESS OF SENDER:

PATENT NO. 6,875,831

(No. of add'l. Copies (3))

Tyler A. Stevenson
Ciba Specialty Chemicals Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591

MAY 2 3 2005



Case No. PP/1-22042/A/CGM 499/
Application/Serial No. 10/019618 PC
DIDOIOI EVORESS
Mailing Date:
Due Date:
The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below:  Amendment - Fee \$
□ Appeal Brief - Fee\$
MApplication Filing Papers - Fee \$OLG - CC  MPCT national stage  Provisional Application PCTForms 308+210  Assignment Recordation - Fee \$
Associate Power of Attorney
Claim of Priority
☐ Certified Copy(ies)
Declaration and Power of Attorney
☐ Declaration ☐ Rule 131 ☐ Rule 132
□ Foreign Filing-license request □ Formal Drawings
□ Formal Drawings
□ Information Disclosure Statement - Fee \$
□ Issue Fee Trans hith (- Pee \$ 1.4 - 1 - 1)
□ Letter/Response
O Notice of Appeal - Fee 5
Permitting Company (1) Fee (\$ - ) Fee (\$ - )
□ Petiol to xension of time Fee®
□ Reply Brief
Request for Oral Hearing - Fee \$
□ Request for Certification of Correction - Fee \$
TAS c-2259-65 6/96



### **United States Patent and Trademark Office**

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## PATENT APPLICATION INFORMATION RETRIEVAL





Other Links



<b>⊜</b> Printer	Friendly	Version
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Search results as of: 11-29-2004::8:

Sea	rch results for a	pplication nu	mber:10/019,618
Application Number:	10/019,618	Customer Number:	324
Filing or 371(c) Date:		Status:	Allowed - Notice of Allow Mailed - Issue Revision Completed
Application Type:	Utility	Status Date:	11-18-2004
Examiner Name:	TESKIN, FRED M	Location:	ELECTRONIC
Group Art Unit:	1713	Location Date:	•
Confirmation Number:	1679	Earliest Publication No:	
Number:		Earliest Publication Date:	-
Class/ Sub- Class:	526/209	Patent Number:	-
	Andreas Kramer, Meyriez, (CH)	Issue Date of Patent:	-
	MONO AND MULTIF PREPARATION OF F		OXYAMINES FOR THE MACROMERS

Select Search Option

Assignments

Display References
Foreign Priority
Image File Wrapper
Patent Term Adjustment History
Publication Review

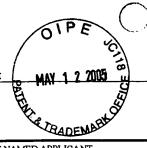
	File History		
5	Date	Contents Description	
V	11-18-2004	Mail Notice of Allowance	
	11-16-2004	Issue Revision Completed	
	11-16-2004	Notice of Allowance Data Verification Completed	
	11-16-2004	Case Docketed to Examiner in GAU	
	11-15-2004	Notice of Allowability	
	11-10-2004	Date Forwarded to Examiner	
	11-03-2004	Amendment after Final Rejection	
	11-03-2004	Workflow incoming amendment IFW	
	09-01-2004	Mail Final Rejection (PTOL - 326)	
	08-31-2004	Final Rejection	

06-28-2004	IFW TSS Processing by Tech Center Complete
06-23-2004	Date Forwarded to Examiner
05-21-2004	Response after Non-Final Action
05-21-2004	Workflow incoming amendment IFW
02-18-2004	Mail Non-Final Rejection
01-26-2004	Non-Final Rejection
11-13-2003	Date Forwarded to Examiner
10-24-2003	Response to Election / Restriction Filed
10-02-2003	Mail Restriction Requirement
10-01-2003	Requirement for Restriction / Election
10-01-2003	Set Re-exam to Ready for Docketing
08-02-2002	Application Dispatched from OIPE
08-02-2002	Notice of DO/EO Acceptance Mailed
08-02-2002	Pre-Exam Office Action Withdrawn
12-20-2001	Initial Exam Team nn
05-07-2002	Information Disclosure Statement (IDS) Filed
12-20-2001	Preliminary Amendment
05-07-2002	Case Docketed to Examiner in GAU
04-19-2002	IFW Scan & PACR Auto Security Review
04-10-2002	Application Dispatched from OIPE
04-10-2002	Notice of DO/EO Acceptance Mailed
04-10-2002	Correspondence Address Change
12-20-2001	Additional Application Filing Fees
02-27-2002	Notice of DO/EO Missing Requirements Mailed
12-20-2000	Initial Exam Team nn

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### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Paterias, Box PCT Umbed States Paterit and Tradamark Office Washington, D.C., 2023

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/019,618

Andreas Kramer

PP/1-22042/A/CGM 499/PCT INTERNATIONAL APPLICATION NO.

PCT/EP00/05899

I.A. FILING DATE 06/26/2000

PRIORITY DATE

07/02/1999

CIBA SPECIALTY CHEMICALS CORPORATION CEIVED

PATENT DEPARTMENT 540 WHITE PLAINS RD P O BOX 2005

TARRYTOWN, NY 10591-9005

APR 2 3 2002

ANDREA DECECCHIS DOCKET COORDINATOR PATENT DEPARTMENT

**CONFIRMATION NO. 1679** 

371 ACCEPTANCE LETTER



\*OC000000007821413\*

Date Mailed: 04/10/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

12/20/2000

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

12/20/2001

DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- · U.S. Basic National Fee
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

- 22042 A CGM 499/PCT

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

BARBARA A CAMPBELL Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)